

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Yuki NAKAMURA and Masaki KATOH

Inventor(s)

for

PHASE CHANGE OPTICAL RECORDING MEDIUM

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 64 including:
a. 11 pages of claims (48 claims)
b. 1 page(s) Abstract
2. X 8 sheets of informal X formal drawings (Figs. 1-11)
3. Oath or declaration of Applicant(s) (3 total pages)
a. Newly executed (original)
b. X Unexecuted
c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (*when there is an assignee*)
9. X Power of Attorney
a. Newly executed (original)
b. X Unexecuted
c. Copy from a prior application

10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. ___ If a Continuing Application, check the appropriate box and supply the requisite information:

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. ___ filed ___--

16. X Priority of application Nos. 2001-002258, 2001-005734 and 2001-057392 filed in Japan on
01/10/01, 01/12/01 and 03/01/01. Applicants hereby claim priority under 35 U.S.C. § 119.

X A certified copy of priority application Nos. 2001-002258, 2001-005734 and 2001-057392
are enclosed

___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	48 - 20	=	28	x	\$ 9	\$ 18	=	\$ 0	\$ 504
Indep. Claims	6 - 3	=	3	x	\$ 42	\$ 84	=	\$ 0	\$ 252
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$140	\$280	=	\$ 0	\$
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$ 740
					Total Fee			\$	\$ 1,496

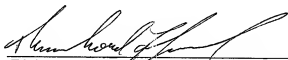
18. A check in the amount of \$ to cover the filing fee.
19. A check in the amount of \$ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge the filing fee of \$1,496.00 and any additional fees which may be required in connection with the following to Deposit Account No. C3-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. Other (Identify)

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

January 9, 2002
Date


Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicants
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

20250109 09:44:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yuki NAKAMURA, et al.

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : PHASE CHANGE OPTICAL RECORDING MEDIUM

1185 Avenue of the Americas
New York, N.Y. 10036

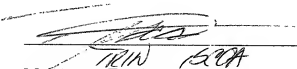
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL531587695US

Date of Deposit: January 9, 2002

I hereby certify that the above-identified application (consisting of a 64-page specification (including 11 pages of claims (48 claims) and a 1 page Abstract), and 8 sheets of formal drawings (Figs. 1-11)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, certified copies of Japanese Application Nos. 2001-002258, 2001-005734 and 2001-057392, and authorization to charge deposit account for the filing fee of \$1,496.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

2000010600001